


Patent Docket P1134R2C4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Avi J. Ashkenazi et al. Serial No.: 10/688,132. Filed: October 17, 2003 For: DcR3 POLYPEPTIDE, A TNFR HOMOLOG	Group Art Unit: to be assigned Examiner: to be assigned Confirmation No: 2023 Customer No: 09157
<p align="center">CERTIFICATE OF EXPRESS MAILING</p> <p>Express Mail Number: EV 351 932 035 US</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450".</p> <p align="right">March <u>24</u>, 2004  Diane L. Marschang</p>	

REQUEST FOR A CORRECTED FILING RECEIPT

Mail Stop: Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


This is a request for correction of the filing receipt in the above application.

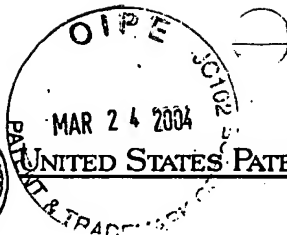
Attached is a copy of the Official Filing Receipt received from the PTO hand-marked with the corrections. Specifically, please delete the Domestic Priority data recited there in and replace it as follows:

**This application is a CON of 10/456,819 filed 06/06/2003
which is a CON of 09/894,924 6/28/2001,
which is a CON of 09/157, 289, filed on September 18, 1998,
and claims benefit of 60/094,640 filed July 30, 1998
and claims benefit of 60/059,288 filed on September 18, 1997**

Respectfully submitted,
GENENTECH, INC.

Date: March 24, 2004

By: 
Diane L. Marschang
Reg. No. 35,600
Telephone No. (650) 225-5416



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

DM/EP/S

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/688,132	10/17/2003	1632	1172	P1134R2C4	15	28	6

09157
 GENENTECH, INC.
 1 DNA WAY
 SOUTH SAN FRANCISCO, CA 94080

RECEIVED

FEB 10 2004

GENENTECH, INC.
 LEGAL, DEPT.

CONFIRMATION NO. 2023

FILING RECEIPT



OC000000011773714

Date Mailed: 01/28/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Avi J. Ashkenazi, San Mateo, CA;
 David Botstein, Belmont, CA;
 Kelly H. Dodge, San Mateo, CA;
 Audrey Goddard, San Francisco, CA;
 Austin L. Gurney, Belmont, CA;
 Kyung Jin Kim, Los Altos, CA;
 David A. Lawrence, San Francisco, CA;
 Robert Pitti, El Cerrito, CA;
 Margaret A. Roy, San Francisco, CA;
 Daniel B. Tumas, Orinda, CA;
 William I. Wood, Hillsborough, CA;

Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

This application is a CON of 10/456,819 06/06/2003

which is a CON of 09/894,924 filed 6/28/2001

Foreign Applications

which is a CON of 09/157,289 filed 9/18/1998

and claims benefit of 60/094,640 filed 7/30/1998

and claims benefit of 60/059,288 filed 9/18/1997

If Required, Foreign Filing License Granted: 8/21/2004

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

Title

DcR3 polypeptide, a TNFR homolog

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).